

NAVODAYA VIDYALAYA SAMITI
FINANCIAL MARKETS MANAGEMENT (805)
CLASS –XII (VOC.)
PRE BOARD (TERM-I) EXAMINATION – 2021-22

TIME ALLOWED 1: 30 HRS.

MAX.MARKS – 30

General Instructions:

1. Please read the instructions carefully
2. This Question Paper is divided into 03 sections, viz., Section A, Section B and Section C.
3. Section A is of 05 marks and has 06 questions on Employability Skills.
4. Section B is of 20 marks and has 25 questions on Subject specific Skills.
5. Section C is of 05 marks and has 07 competency-based questions.
6. Do as per the instructions given in the respective sections.
7. Marks allotted are mentioned against each section/question.
8. All questions must be attempted in the correct order

SECTION A

Answer any 5 questions out of the given 6 questions on Employability Skills

(1 x 5 = 5 marks)

1.	<p>Active listening is a helpful skill for any person to develop for the following reasons:</p> <p>A. It helps you to understand what people are saying in conversations and meetings</p> <p>B. It can help build rapport with your interviewer.</p> <p>C. To redirect one's focus from needs of the prospective employer or interviewer to oneself</p> <p>D. To help reduce your nervousness during an interview.</p>	1
2.	<p>Which is not true about Self-motivation?</p> <p>A. It increases an individual's energy and activity.</p> <p>B. It directs an individual towards specific goals.</p> <p>C. It results in initiation and persistence of specific activities</p> <p>D. It inhibits cognitive processes and learning strategies used for completing similar tasks.</p>	1

3.	To select multiple cells/ ranges together we must press and hold key: A. Shift B. ALT C. ENTER D. CTRL	1
4.	A rectangular block of contiguous cells, that touch each other, especially along a line in OO Calc is known as: A. Cell Reference B. Chart C. Range D. Active Cell	1
5.	Which among the following is a source of motivation? A. Music B. Dreaming Big C. All of the above D. None of above	1
6.	The message may be misinterpreted because of A. Barriers of communication B. Distortions C. Distraction D. Noise	1

SECTION B

Answer any 20 questions out of the given 25 questions

(1 x 20 = 20 marks)

7.	What are interdependent and inseparable segments ? A. Primary markets B. Financial markets C. Securities markets D. Tertiary markets	1
8.	Secondary market is operated through ? A. OTC B. PTC C. DTC D. BTC	1
9.	The blue-chip index of NSE is ? A. DNX Nifty B. CNX Nifty C. CNX Hefty D. CNX Fifty	1

10.	<p>When Wholesale Debt markets commenced its operations ?</p> <p>A. JUNE 1994 B. JAN 1994 C. JUNE 1995 D. JUNE 1993</p>	1
11.	<p>Which markets are not market segments?</p> <p>A. Wholesale debt market B. Normal market C. Capital market D. Future and options market</p>	1
12.	<p>What is the full form of S.B.T.S. ?</p> <p>A. Securities board trading B. Securities based trading system C. Screen based trading system D. Screen board trading system</p>	1
13.	<p>Which products are not traded in the wholesale debt market ?</p> <p>A. PSU Bonds B. Warrants C. Commercial paper D. T-Bill</p>	1
14.	<p>What is the full form of I.F.S.D?</p> <p>A. Indian financial securities deposit B. Interest free securities deposit C. Import free securities deposit D. Interest foreign securities deposit</p>	1
15.	<p>Who may grant a certificate to sub-brokers ?</p> <p>A. RBI B. SEBI C. IRDA D. DEA</p>	1
16.	<p>Banking institutions are regulated by _____</p> <p>A. SEBI B. RBI C. IRDA D. MCA</p>	1
17.	<p>When SEBI Allowed the direct market access (DMA)</p> <p>A. April 2008 B. May 2008 C. April 2009 D. April 2010</p>	1

18.	<p>What measures expectations of market volatility over the near term ?</p> <p>A. Velocity index</p> <p>B. Volume index</p> <p>C. Volatility index</p> <p>D. Volatility interest</p>	1
19.	<p>When a user logs in for the first time on the NEAT screen, he has to enter the default password. What is the default password entered for the first time ?</p> <p>A. Neat@CM1</p> <p>B. Neat@DM1</p> <p>C. Neat@CM2</p> <p>D. Neat@Cm3</p>	1
20.	<p>Each trading member can have more than?</p> <p>A. One user ID</p> <p>B. Two user ID</p> <p>C. Three user ID</p> <p>D. Four User ID</p>	1
21.	<p>What are full form of M.B.P. ?</p> <p>A. Market by public</p> <p>B. Market by price</p> <p>C. Market by process</p> <p>D. Market by place</p>	1
22.	<p>Which of the following are not corporate actions indication?</p> <p>A. XB</p> <p>B. XP</p> <p>C. XD</p> <p>D. XR</p>	1
23.	<p>Following are not a Tool bar of Trade workstation screens?</p> <p>A. MBP</p> <p>B. PT</p> <p>C. SQ</p> <p>D. XB</p>	1
24.	<p>Which of the following status is not displayed for an auction's security?</p> <p>A. S</p> <p>B. M</p> <p>C. A</p> <p>D. F</p>	1

25.	<p>The password should contain a minimum of characters?</p> <p>A. Four B. Five C. Six D. Eight</p>	1
26.	<p>When a user tries to login with the wrong password a message is displayed ?</p> <p>A. Valid sign on B. Invalid sign on C. Invalid sign off D. Valid sign off</p>	1
27.	<p>If Attempts are made by a user to log on with an incorrect password ?</p> <p>A. Two B. Three C. Four D. Five</p>	1
28.	<p>The new password must be different from the ?</p> <p>A. Incorrect password B. Correct password C. Invalid password D. Old password</p>	1
29.	<p>The purpose of the market enquiry is to enable the user to view the?</p> <p>A. Market Watch B. Market screen C. Market Statistics D. Market movement</p>	1
30.	<p>Which of the following information is not displayed in market information ?</p> <p>A. Best buy price B. Best sell price C. The last traded price D. The first traded price</p>	1
31.	<p>Which data is not displayed for each index ?</p> <p>A. Current index B. High index C. Low index D. Equal index</p>	1

SECTION C (COMPETENCY BASED QUESTIONS)

Answer any 5 questions out of the given 7 questions

(1 x 5 = 5 marks)

Trading members can apply for surrender of their trading membership once admitted to the Exchange. Surrender of trading membership can be permitted by the Exchange after fulfilling certain conditions by the member such as, clearing off all the dues to the Exchange and NSCCL, notifying all other TMs of the approval of surrender, issuance of a public notification in leading dailies, etc. The deposits of the trading members would be released by the Exchange/ NSCCL after a prescribed lock-in period.

The Exchange may expel or suspend, fine under censure, warn, withdraw any of the membership rights of a trading member if it is guilty of contravention, non-compliance, disobedience, disregard or evasion of any of the bye-laws, rules and regulations of the Exchange or of any resolutions, orders, notices, directions or decisions or rulings of the Exchange or the relevant authority.

Read the above paragraph and answer the given below questions accordingly :-----

32	If Amit is a trading member but he is not active in trading and even not willing to trade in future, then what he should do? A. Surrender B. Complaint C. None of above D. Both of above	1
33	If a trading member has been suspended by SEBI then What can be the basis of Suspension? A. Proper conduct B. Fraudulent act C. Timely submission of his all documents D. All of above	1
34	If Reeta is a trading member who is expelled by SEBI , then what can be the consequences that she has to face? A. Office vacated B. Trading membership rights forfeited C. She has to fulfill her all obligations D. All of above	1
35	If a person wants to become a trading member in SEBI then what will be the first step that he has to follow :---- A. Application form B. Surrender form C. Contract note D. none of above	1
36	A trading member is deemed guilty of un-businesslike conduct for which of the following act or omissions : A. Transaction or business dealings in fictitious names. B. Circulation of rumors. C. Any unfair dealing in securities which does not reflect the true market values. D. All of above	1
37	What is the term “Rights of creditors unimpaired” meaning? A. The expulsion affects the rights of the trading members who are creditors of the expelled trading member B. The expulsion does not affect the rights of the trading members who are creditors of expelled trading member C. Creditors will do complaint to SEBI D. None of them	1

38	<p>“The suspension of business as above shall continue until the trading member has been allowed by the relevant authority to resume business. It may be done on its paying such deposit or on its doing such act or providing such thing as the relevant authority may require.”</p> <p>According to you the above statement is :</p> <p>A. True</p> <p>B. false</p>	1
----	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---